

TAX COMPLIANCE - INTERNATIONAL EXCHANGE OF INFORMATION AGREEMENT INDIVIDUAL SELF-CERTIFICATION FORM

Tax regulations¹ require the collection of certain information about each account holder's tax residency and citizenship status.

This form is designed to capture your citizenship and residency for tax purposes.

If you are a US citizen or resident of the US for tax purposes under US Internal Revenue Service ("IRS") regulations you should also provide a completed, signed and dated IRS Form W-9. If you have any questions about how to complete this form, please contact your tax advisor.

Part 1 – Customer Identification

Name of Account Holder:

Title:	Permanent Residential Address:
_____	House number/name & Street:
First or Given Name:	_____
_____	City:
Middle Name(s):	_____
_____	Postal Code:
Family Name or Surname(s):	_____
_____	Country:

	Place (City/Town) and Country of Birth:

	Date of birth: <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/>

Name of Additional Account Holder:

Title:	Permanent Residential Address:
_____	House number/name & Street:
First or Given Name:	_____
_____	City:
Middle Name(s):	_____
_____	Postal Code:
Family Name or Surname(s):	_____
_____	Country:

	Place (City/Town) and Country of Birth:

	Date of birth: <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/>

Part 2 – Tax Residency/Citizenship Information (continue on a separate sheet if necessary)

For the purposes of taxation please provide the following information regarding your citizenship and country/countries of residence for tax purposes. Additionally, please confirm your Tax Identification Number (TIN) or functional equivalent for each country or indicate (if applicable) that a TIN/functional equivalent is unavailable:

Account Holder:

Are you a US Citizen? Yes No

If yes, in addition to completing this form, please provide a completed IRS W9 form.

Please confirm your country/countries of residence for tax purposes.

Country:	TIN or tick the box if TIN is unavailable:
_____	_____ <input type="checkbox"/>
_____	_____ <input type="checkbox"/>
_____	_____ <input type="checkbox"/>
_____	_____ <input type="checkbox"/>

Additional Account Holder

Country:	TIN or tick the box if TIN is unavailable:
_____	_____ <input type="checkbox"/>
_____	_____ <input type="checkbox"/>
_____	_____ <input type="checkbox"/>
_____	_____ <input type="checkbox"/>

Part 3 – Authorisations and Undertakings

1. I/We authorise the Recipient to provide, directly or indirectly, to any relevant tax authorities or any party authorised to audit or conduct a similar control of the Recipient for tax purposes, a copy of this form and to disclose to such tax authorities or such party any additional information that the Recipient may have in its possession that is relevant to my qualification for any benefits claimed on the basis of this Declaration. I acknowledge and agree that information contained in this form and information regarding income paid or credited to or for the benefit of the account(s) set out above may be reported to the tax authorities of the country in which such income arises and that those tax authorities may provide the information to the country or countries in which I am a resident for tax purposes.
2. I/We authorise the Recipient to provide, directly or indirectly, a copy of this form and information regarding income paid or credited to or for the benefit of the account(s) set out above to: (i) any person that has control, receipt, or custody of income to which this form relates; (ii) any

person that can disburse or make payments of income to which this form relates; or (iii) any party authorised to audit or conduct a similar control of aforementioned persons for tax purposes

3. I/We understand that the Bank is relying on this information for the purpose of determining the status of the applicant named above in compliance with the tax regulationsⁱ. The Bank is not able to offer any tax advice on the tax regulationsⁱ or the impact on the applicant. I/we should seek advice from professional tax advisor for any tax questions.
4. I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.
5. I/We agree that as may be required by domestic or overseas regulators/tax authorities the Bank may also be constrained to withhold and pay out any sum from my account or close or suspend my account.
6. I/We declare that all statements made in this declaration are, to the best of our knowledge and belief, correct and complete.

I/We agree that we will submit a new form within 30 days if any certification on this form becomes incorrect.

Sign Here:

Print name:

Date: _____

Office Use

Option	US Indicia
<input type="checkbox"/>	US citizen or resident
<input type="checkbox"/>	US place of birth
<input type="checkbox"/>	Current US mailing or residence address (including PO Box)
<input type="checkbox"/>	Current US telephone number
<input type="checkbox"/>	Current effective power of attorney or signatory authority granted to a person with a US address
<input type="checkbox"/>	A US 'in care of' or 'hold mail' that is the sole address the Financial Institution holds for the account holder
<input type="checkbox"/>	Standing Instruction (SI) to transfer funds to an account maintained in US

If US Indicia is confirmed, obtain a completed IRS form W-9 confirming US tax residency/US citizenship) or W-8BEN to cure the identified indicia.

Supporting Documents		
A-Passport	B- Armed Forces identity card	C- Shotgun certificate issued by a UK police authority
D- National identity card	E- A Certificate of Residence issued by an appropriate tax official of your country of residence (for example a certificate in relation to a person's UK tax residence issued by HMRC.).	F- Any valid identification issued by an authorised Government body (for example, a government or agency thereof, or a municipality), that includes the individual's name and is typically used for identification purposes.
G-Driving License	H- Any financial statement, third party credit report, bankruptcy filing, or US Securities and Exchange Commission report.	

Account opening form scrutinized by:

Employee No. _____

Signature of Employee: _____

¹ The term "tax regulations" refers to regulations created to enable automatic exchange of information and include Foreign Account Tax Compliance Act, various Agreements to Improve International Tax Compliance entered into between the UK, the Crown Dependencies and the Overseas Territories, and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information[, as implemented in the relevant jurisdictions].
